

An Initial Population Structure and Genetic Diversity Analysis for *Stenella clymene* (Gray, 1850): Evidence of Differentiation Between the North and South Atlantic Ocean

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Abstract

Information concerning population structure and genetic diversity in *Stenella clymene* is still scarce. Previous studies raised questions regarding the species' position in the genus *Stenella* and suggested that *S. clymene* might be of hybrid origin. The present study analyzed the mitochondrial control region (D-loop), cytochrome oxidase I (CoI), and cytochrome b (Cyt b) of northeastern Brazil individuals and compared them with *S. clymene* sequences from the North Atlantic Ocean and Gulf of Mexico. Brazilian individuals showed high haplotype diversity (D-loop: $1.00/p = 0.02$; CoI: $0.99/p = 0.04$; Cyt b: $0.96/p = 0.06$) and probably constitute one population (South Atlantic Ocean). Significant differentiation and high F_{ST} values (D-loop: $F_{ST} = 0.88/p = 0.00$; CoI: $F_{ST} = 0.70/p = 0.00$; Cyt b: $F_{ST} = 0.96/p = 0.00$) were found between population units from the North and South Atlantic Ocean. For Cyt b, population units from the South Atlantic Ocean and Gulf of Mexico showed significant differentiation, but the F_{ST} value was low ($F_{ST} = 0.11/p = 0.0$). In addition, the haplotype network suggests connectivity between South Atlantic Ocean and Gulf of Mexico units. More effort focusing on *S. clymene* is needed to better elucidate the patterns of population structure within this species and, thus, provide sufficient data for conservation strategies.

Key Words: D-loop, cytochrome oxidase I, cytochrome b, Clymene dolphin, Delphinidae

Introduction

Stenella clymene, the Clymene dolphin, has a restricted distribution near the equator in the Atlantic Ocean (Jefferson & Curry, 2003). Considered an oceanic species, it is commonly found in deep waters (1,000 to 4,500 m), with cyclonic or confluent circulation (Davis et al., 1998; Weir, 2006), feeding typically on mesopelagic fishes (Jefferson & Curry, 2003). There are reports of significant habitat overlap of *S. clymene* with other *Stenella* sp.—for example, *S. attenuata*, *S. coeruleoalba*, and *S. longirostris* (Davis et al., 1998; Moreno et al., 2005).

According to the International Union for Conservation of Nature's (IUCN) (2016) *Red List of Endangered Species*, *S. clymene* is under the "Data Deficient" (DD) classification due to the lack of studies focusing on the species. It is uncertain how many populations or stocks of *S. clymene* exist, and there is no information regarding its population structure and diversity overall.

The phylogenetic position of the Clymene dolphin in the *Stenella* genus and in the Delphinidae subfamily remains uncertain. In most studies, the closest species to *S. clymene* is *S. coeruleoalba*, followed by *S. frontalis* (Perrin et al., 2013), but *Delphinus* seems to be closer to *S. clymene* than *S. attenuata* and *S. longirostris* would be (Perrin et al., 2013). Furthermore, Amaral et al. (2014) hypothesized that *S. clymene* originated from a hybridization between *S. longirostris* and *S. coeruleoalba*, as well as the possibility of *S. clymene*

being a recent introgression between *S. clymene* and *S. longirostris*.

Analyses of existent polymorphisms in DNA sequences between individuals of different localities allow for the exploration of evolutionary process and demographic events of a species (Nosil et al., 2009). However, for this species, molecular studies have been difficult as sightings and stranding events are sporadic due to the distribution of *S. clymene* in the deep waters of the Atlantic Ocean. Because most samples available are from stranded individuals, analyses of mitochondrial DNA have been preferred due to its success in low-quality DNA analyses such as that found in samples from stranded individuals (Wan et al., 2004; Frankham et al., 2008).

The aim of this study was to provide initial information about the genetic diversity and population structure of *S. clymene* by comparing the diversity among individuals from the South and North Atlantic Oceans.

Methods

Samples

Samples were collected from 12 individuals (seven male and four female) that stranded in northeastern Brazil (Figure 1). The conditions of the individuals ranged from fresh to an advanced stage of decomposition. Tissues were collected according to the decomposition stage: muscle and skin were obtained from fresh carcasses and stored in ethanol, while internal organs were collected from carcasses with an advanced stage of decomposition and stored in formaldehyde.

Additionally, to perform a broader analysis of *S. clymene*'s relationship within the genus, we included sequences from other *Stenella* sp. from GenBank (Figure 1; Table S1—This table is available in the supplementary material for this article on the Supplementary Material page of the *Aquatic Mammals* website: www.aquaticmammalsjournal.org/index.php?option=com_content&view=article&id=10&Itemid=147).

DNA Extraction, Amplification, and Sequencing

Different DNA extraction protocols were used according to the tissue type and storage solution: salt buffer protocol (Bruford et al., 1992) was used for muscle; Chelex resin protocol was used for skin; a phenol-chloroform protocol (Sheppard et al., 1992) was used for degraded tissue; and Mesquita et al.'s (2001) protocol was applied with adaptations for tissues preserved in formaldehyde (see "DNA Extraction Protocol . . ." in the supplementary material for this article on the Supplementary Material page of the *Aquatic Mammals* website: www.aquaticmammalsjournal.org/index.php?option=com_content&view=article&id=10&Itemid=147).

[mammalsjournal.org/index.php?option=com_content&view=article&id=10&Itemid=147](http://www.aquaticmammalsjournal.org/index.php?option=com_content&view=article&id=10&Itemid=147)).

The D-loop control region was amplified following Pichler et al. (2001), with primers dLp1.5 (5' TCACCCAAAGCTGRARTTTA 3') and dLp5 (5' CCATCGWGATGTCCTATTAA-GRGGAA 3'); and reactions were performed in 12.5 µl volumes containing 10 to 100 ng of extracted DNA, 10x PCR buffer (Invitrogen), 2 mM MgCl₂, 0.12 µM of each primer, 0.05 mM dNTP, and 1U/µl Taq polymerase (Invitrogen). The thermocycle profiles consisted of an initial denaturation step at 95° C for 1 min, 40 cycles of 94° C for 30 s, 54° C for 30 s, and a final extension step at 72° C for 5 min. The cytochrome oxidase I (CoI) gene was amplified following Amaral et al. (2007a), with primers COX1F (5' TGCCTACTCGGCCATTTTAC 3') and COX1R (5' TGAAACCCAGGAAGCCAATA 3'). Amplification reactions were performed in 12.5 µl volumes containing 10 to 100 ng of extracted DNA, 10x PCR buffer (Invitrogen), 1.5 mM MgCl₂, 0.15 mM dNTPs, 0.3 µM of each primer, and 1 U/µL Taq polymerase. The thermocycle profiles consisted of an initial denaturation step at 94° C for 2 min, followed by 35 cycles of 45 s at 94° C, 45 s at 52° C, 1 min at 72° C, and a final extension step for 8 min at 72° C. The cytochrome b (Cyt b) gene was amplified using primers described in Palumbi et al. (1991): 5' TGA CTTGAARAAC CAYCG TTG 3' and 5' CCTTTTCCGGTTTACAAGAC 3'. Amplification reactions were performed in 12.5 µl volumes containing 10 to 100 ng of extracted DNA, 10x PCR buffer (Invitrogen), 1.52 mM MgCl₂, 0.3 µM of each primer, 0.04 mM dNTP, and 1 U/µl Taq polymerase (Invitrogen). The thermocycle profiles for the Cyt b gene consisted of an initial denaturation step at 94° C for 3 min, followed by 35 cycles of 45 s at 94° C, 45 s at 48° C, 1 min at 72° C, and a final extension step for 5 min at 72° C.

The mitochondrial genes were purified with Exonuclease I (10 u/µl), Shrimp alkaline phosphatase (1 u/µl), and Exo/Sap (1/1). They were incubated for 15 min at 37° C and 15 min at 80° C. Sequenced reactions were performed with 1 µl of PCR product, 5.06 µl of ultrapure water, 2.5 µl of buffer, 0.8 µl of BigDye Terminator CycleSequencing (Applied Biosystems), and 0.64 µl of primer (5 µM) for both strands, forward and reverse. The thermocycle profiles consisted of an initial denaturation step at 96° C, followed by 25 cycles of 10 s at 96° C, 5 s at 48° C, and 4 min at 60° C. To precipitate the DNA, we added 80 µl of isopropyl alcohol (75%), centrifuged at 13,000 rpm for 25 min, and discarded the supernatant. The pellet was washed with 250 µl of ethanol (70%) being centrifuged at 13,000 rpm for 5 min. The supernatant was discarded, and the pellets were dried on the thermocycler for 3 min at 95° C. The



Figure 1. Locations where *Stenella clymene* samples were obtained on the Brazilian coast, as well as the sequences available from GenBank

samples were sequenced on an ABI310 automated sequencer (Applied Biosystems).

Data Analyses

All DNA sequences were aligned using the algorithm *MUSCLE* (Robert, 2004) and manually edited in the software *MEGA*, Version 6 (Kumar et al., 1994; Tamura et al., 2013). To confirm the species, sequences were compared with GenBank and DNA Surveillance (Ross et al., 2003) databases.

Population structure analyses were conducted, and estimates of haplotype (H) and nucleotide (π) diversity were obtained using the software *Arlequin*, Version 3.5 (Excoffier et al., 2005). Genetic differences among population units (North Atlantic Ocean, South Atlantic Ocean, and Gulf of Mexico) were quantified by Analysis of Molecular Variance (AMOVA) based on conventional F_{ST} statistics with 10,000 random permutations using the *Arlequin* software. Haplotype networks were constructed using Median-Joining calculations as implemented in *Network* (Bandelt et al., 1999).

For an insight into *S. clymene*'s relationship to its genus, we included GenBank sequences of other *Stenella* sp., for both CoI and Cyt b, to estimate a species tree of the genus. As outgroups, we used sequences from *Megaptera novaeangliae* (Borowski, 1781) (GenBank AP006467 for CoI and Cyt b) and *Pontoporia blainvillei* (Gervais & d'Orbigny, 1844) (GenBank EU496358 for CoI and GenBank AF334488 for Cyt b). We only included sequences that had a reference article submitted (see Table S1).

The best evolutionary model for each mitochondrial gene region was obtained through the *JModeltest*, Version 2.1.6 program (Guindon & Gascuel, 2003; Darriba et al., 2012), using the Akaike information criterion (AIC) test. To estimate a species tree of the genus *Stenella*, 10 million Monte Carlo Markov Chain (MCMC) generations sampling every 1,000 generations were run in the program *BEAST*, Version 1.8.1 (Drummond et al., 2012); the evolutionary model applied for both CoI and Cyt b was HKY + G, with the Yule process as the species tree prior and a strict

molecular clock with an uncorrelated lognormal distribution. *TreeAnnotator*, Version 1.8.1 (Drummond et al., 2012) was subsequently used to summarize the obtained trees in a single tree, with a maximum clade credibility, a burning value of 1,000, and a posterior probability limit of 0.5. *FigTree*, Version 1.4.3 (Rambaut, 2009) was used to edit and produce the tree figures.

Results

For all mitochondrial regions, AMOVA and genetic distance results showed no significant genetic differentiation between individuals from different locations in Brazil (D-loop: $F_{ST} = -0.29/p = 0.9$; CoI: $F_{ST} = -0.25/p = 0.7$; Cyt b: $F_{ST} = 0.25/p = 0.12$); therefore, these individuals were considered as a single South Atlantic Ocean (SAO) population. GenBank sequences included for D-loop and CoI were all described as a North Atlantic Ocean (NAO) population. For the D-loop, both SAO and NAO showed high haplotype and nucleotide diversity. As for CoI, both SAO and NAO presented high haplotype diversity, but the NAO had lower nucleotide diversity when compared to SAO (Table 1).

As we included the GenBank sequences for Cyt b, AMOVA and genetic distance results considering all GenBank sequences as one population unit showed higher intrapopulation distance ($F_{ST} = 0.92/p = 0.04$) than interpopulation distance ($F_{ST} = 0.08/p = 0.04$). Thus, for Cyt b analyses, we considered NAO and the Gulf of Mexico (GOM) as separate population units. For Cyt b, SAO and NAO exhibited higher nucleotide diversity when compared to GOM, while NAO and GOM had similar haplotype diversity that was higher than SAO (Table 1).

AMOVA results were significant for all mitochondrial genes: D-loop, $F_{ST} = 0.88$ ($p = 0.00$); CoI, $F_{ST} = 0.70$ ($p = 0.00$); and Cyt b, $F_{ST} = 0.86$

($p = 0.00$). For Cyt b, the F_{ST} values between NAO and SAO ($F_{ST} = 0.96/p = 0.00$) and between NAO and GOM ($F_{ST} = 0.93/p = 0.00$) were higher than between SAO and GOM ($F_{ST} = 0.11/p = 0.00$).

Haplotype networks support the results of differentiation between individuals from the northern and southern localities of the Atlantic Ocean (Figure 2). Note that for Cyt b, despite SAO and GOM being separated, there were individuals maintaining a connection between these populations.

As for the phylogenetic tree, in Figures 3 and 4, for both CoI and Cyt b, the closest species to *S. clymene* is *S. coeruleoalba* followed by *S. frontalis*. For CoI, we have a strongly supported clade for *S. clymene*, but individuals SAO03 (KX346587) and SAO05 (KX346585) are clustered isolated outside any clade, including *S. clymene* (Figure 3). We also have a supported clade for *S. clymene* for Cyt b. Again, the individual SAO05 (KX346595) is outside the clade, but now it is clustered with the *S. clymene* individual KF691995 from the GOM (Figure 4). In addition, the *S. clymene* individual KF691958 from the GOM is clustered in the *S. longirostris* clade (Figure 4).

Discussion

Even with a low sample size, the haplotype and nucleotide diversity of *S. clymene* were relatively high for all molecular markers and geographic localities evaluated as found in previous Delphinidae studies (Natoli et al., 2005; Adams & Rosel, 2006; Amaral et al., 2007b; Quérouil et al., 2007; Caballero et al., 2013; Stockin et al., 2014). The high haplotype diversity together with the low nucleotide diversity found in CoI for NAO individuals and in Cyt b for SAO individuals may be an indication of genetic bottleneck events followed by population expansion. Generally, the haplotype network has a star pattern, which supports more clearly the hypothesis that new haplotypes

Table 1. Sample size (N), base par (Bp), polymorphic sites (Ps), haplotype diversity (H), and nucleotide diversity (π) of *Stenella clymene* in the Atlantic Ocean; SA = South Atlantic, NA = North Atlantic, and GOM = Gulf of Mexico.

		N	Ps	H	π
D-loop (400 bp)	SA	8	14	1.0 ± 0.02	0.02 ± 0.01
	NA	14	24	1.0 ± 0.02	0.02 ± 0.01
CoI (636 bp)	SA	10	44	0.99 ± 0.04	0.0234 ± 0.1
	NA	3	4	1.0 ± 0.27	0.0042 ± 0.01
Cyt b (783 bp)	SA	9	19	0.97 ± 0.06	0.006 ± 0.03
	NA	3	11	1.0 ± 0.27	0.0112 ± 0.001
	GOM	12	51	0.83 ± 0.08	0.0128 ± 0.007

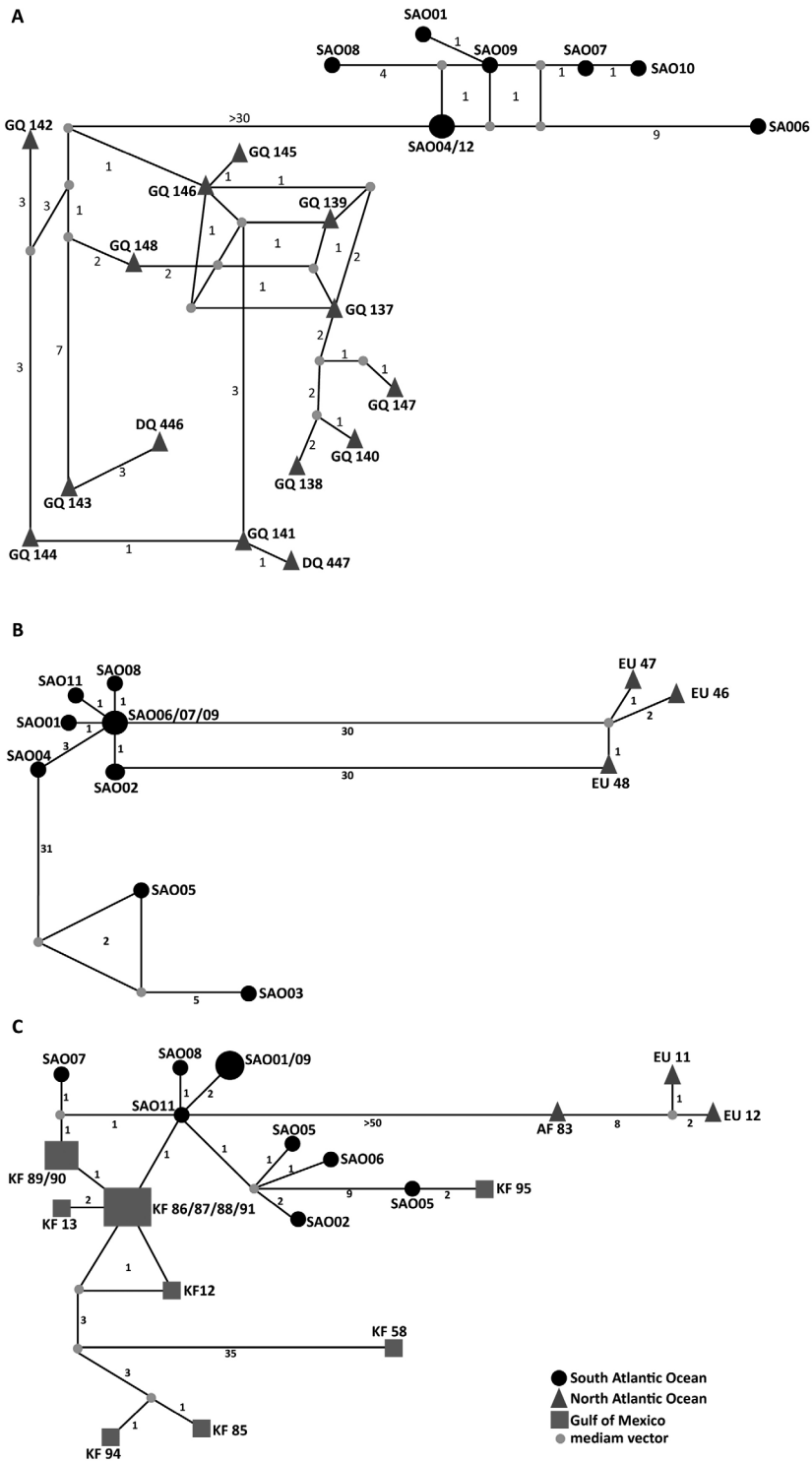


Figure 2. *S. clymene* haplotype network for mtDNA regions D-loop (A), CoI (B), and Cyt b (C). Circle diameter is proportional to relative frequency of haplotypes; numbers within the lines represent mutational step numbers.

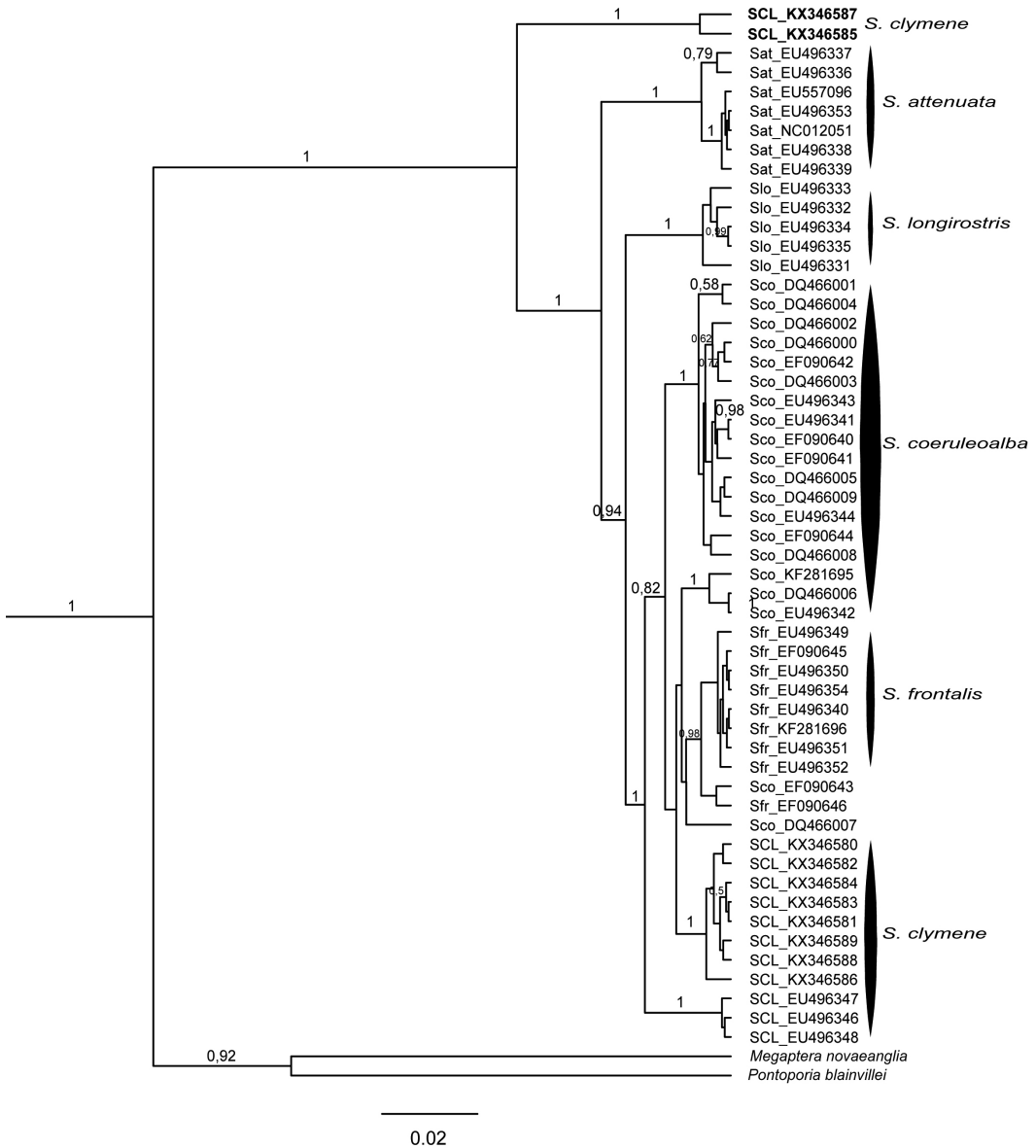


Figure 3. Species tree estimated for CoI with the *BEAST* method. Posterior probability values are above nodes.

apparently recently diverged from a single ancestral haplotype. A similar hypothesis has been proposed for other cetacean species (Hoelzel et al., 2002, 2007; Luca et al., 2009).

Analyses of all mitochondrial markers showed large genetic differentiation between the NAO and SAO populations, and between NAO and GOM. However, the F_{ST} value between SAO and GOM was substantially lower, which is also reflected in the haplotype network where there are distances of

only one mutational step between some SAO and GOM haplotypes. This structure, separating the SAO and GOM populations, may be sustained or not if more samples are included in a future analysis.

In a study of population structure in *S. frontalis*, Adams & Rosel (2006) found similar differentiation between NAO and GOM populations and suggested that the most likely hypothesis is the influence of the distribution of their prey. The physical barrier that could prevent dispersal

between GOM and NAO would be the ocean streams, but dolphins are highly agile organisms, and water currents are not likely to be a barrier for them; however, their prey would have limited dispersal because of water currents (Dowling & Brown, 1993; Hoelzel, 1994). The same situation would apply to *S. clymene* considering most of its prey are mesopelagic species (Jefferson & Curry, 2003) whose distribution is influenced by vertical stratification of water bodies (Davis et al., 1998).

An interesting fact that should be highlighted in the analysis of Cyt b haplotype networks is that the individual KF691995 from GOM is closer to SAO05 from SAO than to any other individual from GOM (Figure 2). An explanation for such a result could be the existence of gene flow between the SAO and GOM stocks. The occurrence of a seasonal migration of *S. clymene* from the SAO to the GOM region is possible if considering the study of Stramma et al. (1995). They reported that during the austral spring in the northern Brazil current, there is an undercurrent of intense speed in the upper 1,000 m of the water column (depth within the range preferred by *S. clymene*) that can influence phyto- and zooplankton migration and, consequently, species of other trophic levels, especially mesopelagic fish with vertical migration that have been recognized as *S. clymene*'s prey (Jefferson & Curry, 2003). Therefore, the observed genetic difference might be related to spatial separation of *S. clymene* individuals by oceanographic processes.

Silva-Jr et al. (2005) reported an individual with physical characteristics of both *S. longirostris* and *S. clymene* and suggested hybridization between these species. Later, Amaral et al.'s (2014) molecular analysis suggested that *S. clymene* might be a hybrid of *S. coeruleoalba* and *S. longirostris*, and they also proposed the possibility of a recent introgression between *S. clymene* and *S. longirostris*. In our Cyt b analyses, there was only one individual of *S. clymene* close to *S. longirostris* (KF691958 from GOM; Figure 4), which was different from results presented by Amaral et al. (2014) where two individuals were clustered with *S. longirostris*. Considering that in our analyses we used all of the sequences from the Amaral et al. (2014) study, our results might be dissimilar to theirs because of the inclusion of more *S. clymene* individuals from other localities. The second individual from GOM that was with the *S. longirostris* clade in the Amaral et al. (2014) study now is probably clustered with the *S. clymene* individual from SAO included from our samples. Therefore, our result weakens the possibility of a recent introgression between *S. clymene* and *S. longirostris*. In addition, in our phylogenetic analyses (Figures 3 & 4), we found

S. clymene closely related to *S. coeruleoalba* (as reported by LeDuc et al., 1999; May-Collado & Agnarsson, 2006; Agnarsson & May-Collado, 2008; Möller et al., 2008; McGowen et al., 2009; Bilgmann et al., 2011; McGowan, 2011). Thus, we must improve the *S. clymene* DNA sample collection to better understand its genetics and relationships to other species in the *Stenella* genus.

Conclusions

Our results indicate that *S. clymene* individuals from northeastern Brazil have high haplotype diversity and probably constitute one population. Additionally, our results suggest that there might be three well-defined populations in the Atlantic Ocean: (1) North Atlantic Ocean, (2) South Atlantic Ocean, and (3) Gulf of Mexico. In addition, there are probably individuals that move between the SAO and GOM populations, while the NAO population seems to be isolated.

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